

# From category $\mathcal{O}^\infty$ to locally analytic representations

Joint work with Matthias Strauch

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Padova, p-adic representations & arithmetic D-modules

## Outline

- ① Introduction
- ② Lifting Lie algebra representations
- ③ Tensor-hom adjunction for  $\mathcal{D}(H)$  &  $\mathcal{D}(\mathfrak{g}, H)$
- ④ The functor and its properties

## ① Introduction

Notation:

- $F/\mathbb{Q}_p$  a finite extension
- $(G, T)$  a split reductive group
- $P$  a parabolic containing  $T$
- $\mathfrak{g} = \text{Lie}(G)$ ,  $\mathfrak{t} = \text{Lie}(T)$ ,  $\mathfrak{p} = \text{Lie}(P)$

Recall:

$\mathfrak{g}$ -module  $M \in \mathcal{O}^F$  if:

- (1) Finitely generated as  $\mathfrak{g}$ -module
- (2) Locally finite dimensional as  $\mathfrak{p}$ -module
- (3) Weights defined over  $F$
- (4) Action of  $\mathfrak{t}$  is semisimple

better: finite ext'n  
E/F for coefficients

not stable  
under  
extensions!

If weights of  $M$  all come from algebraic characters of  $T$ ,  
then  $M \in \mathcal{O}_{\text{alg}}^F$ .

There is a bifunctor

$$\left( \begin{array}{c} M \\ \downarrow \mathcal{O}_{\text{alg}}^F \\ \text{(strongly) admissible} \\ \text{smooth representation} \\ \text{of } \mathfrak{p} \end{array}, \begin{array}{c} V \\ \downarrow \text{admissible} \\ \text{representation} \\ \text{of } \mathfrak{g} \end{array} \right) \longmapsto \tilde{\mathcal{F}}_P^G(M, V)$$

which is contravariant in  $M$ , covariant in  $V$ , and  
exact in both  $M$  &  $V$ . [Orlik-Strauch, 2014]

To construct  $\tilde{\mathcal{F}}_P^G(M, V)$ ...

- (a) There's a natural action of  $\mathfrak{p}$  on  $M$  which  
is compatible with the action of  $\mathfrak{g}$ ,  
ie,  $M$  is a  $\mathcal{D}(\mathfrak{g}, \mathfrak{p})$ -module
- (b)  $V$  is a  $\mathcal{D}(\mathfrak{p})$ -module where  $\mathfrak{p}$  acts trivially,  
so regard as  $\mathcal{D}(\mathfrak{g}, \mathfrak{p})$ -module w/ trivial  $\mathfrak{g}$ -action
- (c)  $M \otimes V$  is a  $\mathcal{D}(\mathfrak{g}, \mathfrak{p})$ -module

Recall:  $\mathcal{D}(\mathfrak{g}, \mathfrak{p})$  is  
subalgebra of  $\mathcal{D}(\mathfrak{g})$   
generated by  
 $\mathcal{U}(\mathfrak{g})$  and  $\mathcal{D}(\mathfrak{p})$

even though

(d) Define

$$\check{F}_P^G(M, V) = D(G) \otimes_{D(\mathfrak{g}, P)} [M \otimes V]$$

and then

$$\check{F}_P^G(M, V) = \left[ \check{F}_P^G(M, V) \right]'$$

Want to extend the domain of  $\check{F}_P^G$  from  $M \in \mathcal{O}_{\text{alg}}^f$  to  $M \in \mathcal{O}_{\text{alg}}^{f, \infty}$  — the extension closure of  $\mathcal{O}_{\text{alg}}^f$  in the category of  $\mathfrak{g}$ -modules.

$$\left[ \begin{array}{l} \mathfrak{g}\text{-module } M \in \mathcal{O}^{f, \infty} \text{ if:} \\ (1) \text{ Finitely generated as } \mathfrak{g}\text{-module} \\ (2) \text{ locally finite dimensional as } \mathfrak{p}\text{-module} \\ (3) \text{ weights defined over } F \\ \text{[No condition (4) !]} \end{array} \right]$$

There's no "natural" action of  $P$  on  $M$  anymore, but if we choose a logarithm, we can construct a functorial action of  $P$  on  $M$ . Cf section (2)

Why do we want to do this?

- $\mathcal{O}^f$  not being stable under extensions can be technically inconvenient
- $\mathfrak{g}$ -modules that are not in category  $\mathcal{O}^f$  do come up!

Ex.  $G = \text{SL}_2$   $P = \begin{bmatrix} * & * \\ 0 & * \end{bmatrix}$   $X = G/P = \mathbb{P}^1$

There are five indecomposable  $D$ -mods on  $X$

$$D/\mathfrak{o} \quad D/\mathfrak{t} \quad D/\mathfrak{a}\mathfrak{t} \quad D/\mathfrak{t}\mathfrak{a} \quad D/\mathfrak{t}\mathfrak{a}\mathfrak{t}$$

Taking  $\Gamma(X, -)$  is an equivalence Bellinson-Bernstein localization  
 $D\text{-Mod} \longrightarrow \mathfrak{g}\text{-Mod}_0$

and

$$\Gamma(X, D/\mathfrak{t}\mathfrak{a}\mathfrak{t}) \in \mathcal{O}^\infty \setminus \mathcal{O} !$$

Ex. Representations of form  $\check{F}_P^G(M, V)$  w/  $M \in \mathcal{O}^\infty \setminus \mathcal{O}$  are related to reps that occur in work of Breuil & Schraen in the  $p$ -adic Langlands program. [AS, section 5]

$D(\mathfrak{g}, P)$  is not a Hopf algebra!  
cf. section (3)

$\mathcal{O}_{\text{alg}}^{f, \infty}$  is a Serre subcategory of  $\mathfrak{g}\text{-Mod}$ , so can form

$$D_{\mathcal{O}_{\text{alg}}^{f, \infty}}(\mathfrak{g}\text{-Mod}),$$

ie, derived cat. of  $\mathfrak{g}\text{-Mod}$  with cohomology in  $\mathcal{O}_{\text{alg}}^{f, \infty}$ .

$$D_{\mathcal{O}_{\text{alg}}^{f, \infty}}(\mathfrak{g}\text{-Mod})$$

$$\rightsquigarrow \downarrow \check{F}_P^G$$

$$D_{\text{coadm}}(D(G))$$

## ② Lifting Lie Algebra Representations

[AS, section 2]

Let  $P$  be a connected algebraic group /  $F$  with split maximal torus  $T$  and unipotent radical  $U$ .

Let  $\mathfrak{p} = \text{Lie}(P)$ ,  $\mathfrak{t} = \text{Lie}(T)$ ,  $\mathfrak{u} = \text{Lie}(U)$ .

Let  $M$  be a  $\mathfrak{p}$ -module such that

counts, Simon!

} for the  $\mathfrak{p}$ -module

- Locally finite dimensional as  $\mathfrak{p}$ -module
- locally nilpotent action of  $\mathfrak{u}$
- Algebraic weights

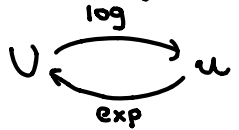
eg, the  $\mathfrak{p}$ -module underlying any object of  $\mathcal{O}_{\text{alg}}^{\mathfrak{p}, \infty}$  differentiates to

We'll construct an action of  $P$  on  $M$  which "lifts" the original action of  $\mathfrak{p}$

Until further notice,  $M$  is finite dimensional.

We'll gradually increase the "complexity" of  $P$ .

(i) If  $P=U$ , we have group isomorphisms



set of nilpotent linear maps  $M \rightarrow M$

Since the action of  $\mathfrak{u}$  on  $M$  is nilpotent, we can exponentiate it, and

$$U \xrightarrow{\log} \mathfrak{u} \longrightarrow \text{Nil}(M) \xrightarrow{\exp} GL(M)$$

is an action of  $U$  lifting the original action of  $\mathfrak{u}$ .

important case!

(ii) Suppose  $P=T$ .

We have an exponential map  $\exp: \mathfrak{t} \rightarrow T$ . Since we're over a  $p$ -adic field, there exist homomorphisms  $T \rightarrow \mathfrak{t}$  which invert  $\exp$ ! let  $\text{Log}(T)$  be the set of these logarithms.

Ex  $T = G_m$   $F = \mathbb{Q}_p$   
 Maps  $\mathbb{Q}_p^\times \rightarrow \mathbb{Q}_p$  inverting  $\exp$  are determined by where  $p$  goes.  
 Choosing  $p \mapsto 0$  gives the "Iwasawa log."

If  $\mathfrak{t}$  acts nilpotently on  $M$ , can now use a  $\log \in \text{Log}(T)$  to construct action of  $T$  on  $M$  just as before:

$$T \xrightarrow{\log} \mathfrak{t} \longrightarrow \text{Nil}(M) \xrightarrow{\exp} GL(M)$$

Ex.  $T = G_m$   $\swarrow$  2 dim'l  $\searrow$  action of  $\mathfrak{t}$   
 $M \rightsquigarrow \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix}$

Then can lift the action of  $\mathfrak{t} = \mathfrak{F}$  to an action of  $T = \mathbb{F}^\times$  using the map  $\rho: \mathbb{F}^\times \rightarrow GL_2(\mathbb{F})$

$$\rho(a) = \begin{bmatrix} 1 & \log(a) \\ 0 & 1 \end{bmatrix}$$

That deals with the case where 0 is the only weight. For other weights, we "twist" and if

[AS.2.3] there's more than one weight, we just lift one generalized weight space at a time.

Ex.  $T = \mathbb{G}_m$

$$M \rightsquigarrow \begin{bmatrix} 2 & 1 \\ 0 & 2 \end{bmatrix}$$

all weights are still assumed to be algebraic!

can lift using  $\rho: F^\times \rightarrow GL_2$  given by

$$\rho(a) = a^2 \begin{bmatrix} 1 & \log(a) \\ 0 & 1 \end{bmatrix}$$

character of  $T$  that differentiates to the weight 2

what we had above

Let  $\text{Lift}(M, \log)$  be this locally analytic rep'n of  $T$ .

Note that the log comes up in dealing with non-semisimplicity. If the  $U$  algebra rep is semisimple (ie, generalized weight spaces are just weight spaces), the log doesn't matter.

eg, the  $t$ -module underlying an obj in category  $\mathcal{M}^!$

(iii)  $P$  semisimple

Jantzen does this in "Representations of alg. groups." Basically, idea is just to glue actions of root subgroups (which are unipotent) with action of torus.

[AS.2.4.8]

Since  $P$  semisimple, action of  $t$  is forced to be semisimple, so the lifted action is even algebraic (no logs show up!)

(iv)  $P$  reductive

Let  $P' = [P, P]$  be derived subgroup. Get an action of  $P'$  using (iii). Have action of  $T$  from (ii) if we choose a logarithm. Actions are compatible, and  $P$  is generated by  $T$  &  $P'$ , so done.

[AS.2.4.9]

agree on  $T \cap P'$ , and compatible w/ conjugation  $T \cap P'$

(v)  $P$  general

Let  $L$  be a Levi subgroup so that  $P = U \rtimes L$ .  $L$  is reductive, so get action using (iv).  $U$  unipotent, so get an action using (i). Again compatible, so get an action of  $P$ .

[AS.2.4.11]

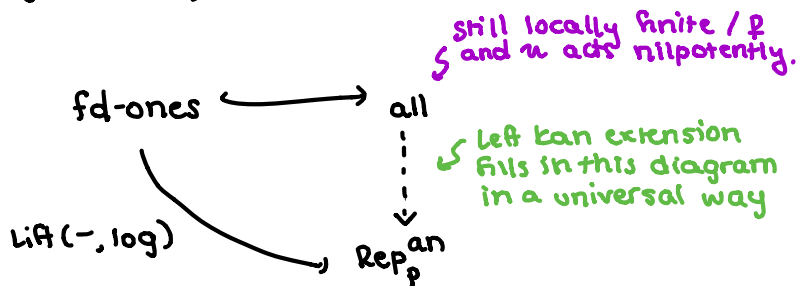
upshot: For any finite dimensional  $\mathfrak{p}$ -module  $M$  on which  $u$  acts nilpotently, get a locally analytic  $P$ -rep

$$\text{Lift}(M, \log),$$

where  $\log \in \text{Log}_s(T)$ , which induces original  $\mathfrak{p}$ -module  $M$ .



Now, for general  $M$ , use a left Kan extension:



Concretely,  $\text{Lift}(M, \log) = \text{colim}_{\text{fd-ones}} \text{Lift}(N, \log)$

If  $P$  is parabolic in a split reductive  $G$  and  $M \in \mathcal{O}_{\text{alg}}^{\neq, \infty}$ , have an action of  $P$  on  $\text{Lift}(M, \log)$  which makes this a  $D(\mathfrak{g}, P)$ -module.

Next: if  $V$  is a smooth strongly admissible  $P$ -rep, then  $V^1$  is a  $D(P)$ -module on which  $\mathfrak{p}$  acts trivially, so it's also a  $D(\mathfrak{g}, P)$ -module. [AS, section 3.3]

want  $\text{Lift}(M, \log) \otimes V^1$  to be a  $D(\mathfrak{g}, P)$ -module.

### ③ Tensor-Hom Adjunction for $D(H)$ & $D(\mathfrak{g}, H)$

Let  $G$  be a locally analytic group and  $H \subseteq G$  a closed subgroup.

$D(H)$  is not a Hopf algebra: there isn't a comultiplication

$$D(H) \longrightarrow D(H) \otimes D(H)$$

This means that the tensor product (over  $F$ ) of two  $D(H)$ -modules isn't automatically a  $D(H)$ -module.

But there is a "comultiplication" [Schneider-Teitelbaum, 05]

$$D(H) \longrightarrow D(H) \hat{\otimes} D(H)$$

which is good enough to make the following work:

Thm. Let  $M$  be a locally finite dimensional locally analytic representation of  $H$ .

can be regarded as a  $D(H)$ -module where  $\delta_h \cdot m = h \cdot m$

For any  $D(H)$ -modules  $X, Y$ ,  $\longleftarrow$  no topology!

$$M \otimes X \quad \& \quad \text{Hom}(M, Y)$$

are naturally  $D(H)$ -modules and

$$\text{Hom}_{D(H)}(M \otimes X, Y) = \text{Hom}_{D(H)}(X, \text{Hom}(M, Y))$$

[AS, 6.3.1]

Then, since  $U(\mathfrak{g})$  is a Hopf algebra, we can bootstrap up from the above adjunction to get:

[AS, 3.4.5]

Thm. Let  $M$  be a locally finite dimensional locally analytic representation of  $H$  which is also compatibly a  $\mathfrak{g}$ -module.

Two actions of  $\mathfrak{h} = \text{Lie}(H)$  agree, and  $\delta_h(xm) = \text{Ad}(h)(x)(h.m)$  for  $h \in H, x \in \mathfrak{g}, m \in M$ .  
 $\rightsquigarrow M$  is a  $D(\mathfrak{g}, H)$ -module.

For any  $D(\mathfrak{g}, H)$ -modules  $X, Y$ ,  
 $M \otimes X \cong \text{Hom}(M, Y)$   
 are naturally  $D(\mathfrak{g}, H)$ -modules and

$$\text{Hom}_{D(\mathfrak{g}, H)}(M \otimes X, Y) = \text{Hom}_{D(\mathfrak{g}, H)}(X, \text{Hom}(M, Y))$$

In particular, if  $G$  split reductive and  $P$  parabolic and  $M \in \mathcal{O}_{\text{alg}}^{p, \infty}$ , then

$$\text{Lift}(M, \log) = \text{colim}_{\text{Ad } P\text{-submods } N} \text{Lift}(N, \log)$$

is locally finite dimensional as a representation of  $P$ .

$\Rightarrow \text{Lift}(M, \log) \otimes V'$  is a  $D(\mathfrak{g}, P)$ -module.

#### (4) The Functor and its Properties

$G$  split reductive,  $T$  split maximal torus,  $P$  parabolic containing  $T$ ,  $\log \in \text{Log}_s(T)$ ,  $G_0$  maximal compact, and  $P_0 = P \cap G_0$ .

It's important here that  $V$  is strongly admissible and not just admissible

[AS, 4.1.5]

Proposition. If  $M \in \mathcal{O}_{\text{alg}}^{p, \infty}$  and  $V$  is a smooth strongly admissible representation of  $P$ , then

$$\text{Lift}(M, \log) \otimes V'$$

is a finitely presented  $D(\mathfrak{g}, P_0)$ -module.

Define

$$\check{F}_P^G(M, V) = D(G) \otimes_{D(\mathfrak{g}, P)} (\text{Lift}(M, \log) \otimes V')$$

as  $D(G_0)$ -mods

$$= D(G_0) \otimes_{D(\mathfrak{g}, P_0)} (\text{Lift}(M, \log) \otimes V')$$

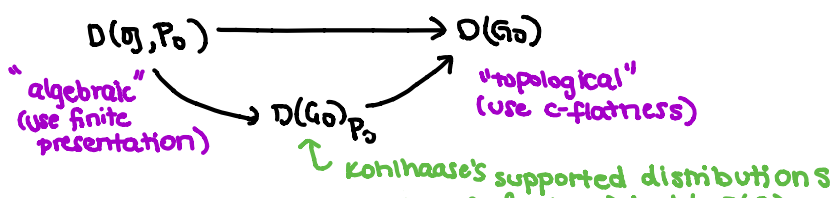
Thm.  $\check{F}_P^G(M, V)$  is coadmissible.

[AS, 4.2.3-4]

Proof.  $\text{Lift}(M, \log) \otimes V'$  is finitely presented over  $D(\mathfrak{g}, P_0)$ , so  $\check{F}_P^G(M, V)$  is finitely presented over  $D(G_0)$   $\square$

Thm.  $\check{F}_P^G$  is exact in both variables.

Same proof that Matthias discussed!



= closure of  $D(\mathfrak{g}, \mathfrak{p}_0)$  inside  $D(\mathfrak{g})$ .

[AS, 4.3.3]

Thm. Suppose  $P \subseteq Q \subseteq G$ . If  $M \in \mathcal{O}_{\text{alg}}^{\mathfrak{g}, \infty}$  and  $V$  is a smooth strongly admissible representation of  $P$ , then

$$\mathcal{O}_{\text{alg}}^{\mathfrak{g}, \infty} \supseteq \mathcal{O}_{\text{alg}}^{\mathfrak{g}, \mathfrak{p}} \quad \check{F}_P^{\mathfrak{g}}(M, V) = \check{F}_Q^{\mathfrak{g}}(M, i(V)).$$

smooth induction  $\text{ind}_P^{\mathfrak{g}}(V)$

Proof. Let  $\tilde{M} = \text{Lift}(M, \log)$ . It's sufficient to show

$$D(\mathfrak{g}, \mathfrak{q}) \otimes_{D(\mathfrak{g}, \mathfrak{p})} (\tilde{M} \otimes V') = \tilde{M} \otimes i(V)' \quad (1)$$

since can then apply  $D(\mathfrak{g}) \otimes_{D(\mathfrak{g}, \mathfrak{g})} -$  to this isomorphism.

Fact:  $i(V)' = D(\mathfrak{g}, \mathfrak{q}) \otimes_{D(\mathfrak{g}, \mathfrak{p})} V'$  as  $D(\mathfrak{g}, \mathfrak{q})$ -modules. (2)

$$\text{Hom}_{D(\mathfrak{g}, \mathfrak{q})} \left( D(\mathfrak{g}, \mathfrak{q}) \otimes_{D(\mathfrak{g}, \mathfrak{p})} (\tilde{M} \otimes V'), - \right)$$

$$= \text{Hom}_{D(\mathfrak{g}, \mathfrak{p})} (\tilde{M} \otimes V', -)$$

$$= \text{Hom}_{D(\mathfrak{g}, \mathfrak{p})} (V', \text{Hom}(\tilde{M}, -))$$

$$= \text{Hom}_{D(\mathfrak{g}, \mathfrak{q})} \left( D(\mathfrak{g}, \mathfrak{q}) \otimes_{D(\mathfrak{g}, \mathfrak{p})} V', \text{Hom}(\tilde{M}, -) \right)$$

$$\stackrel{(2)}{=} \text{Hom}_{D(\mathfrak{g}, \mathfrak{q})} (i(V)', \text{Hom}(\tilde{M}, -))$$

$$= \text{Hom}_{D(\mathfrak{g}, \mathfrak{q})} (\tilde{M} \otimes i(V)', -)$$

So, by Yoneda's lemma, have (1)

□

Thanks!  
Questions?