

# Exponential at infinity

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Let  $K$  be a spherically complete nonarchimedean field of mixed characteristic  $(0, p)$  and  $\mathcal{R}$  the Robba ring over  $K$  with indeterminate  $t$ . Let  $\partial$  denote differentiation with respect to  $t$  on  $\mathcal{R}$ . Define  $\omega := |p|^{1/(p-1)}$ . Fix  $\alpha \in K^\times$ . Let  $E$  be a free  $\mathcal{R}$ -module of rank 1 with generator  $e$ , regarded as a differential  $\mathcal{R}$ -module by setting

$$\partial e = (-\alpha/t^2)e.$$

Observe that  $\exp(\alpha/t)$  is a formal solution for the differential equation  $\partial + \alpha/t^2$ . We know that  $\exp(t)$  converges if and only if  $|t| < \omega$ , so  $\exp(\alpha/t)$  converges if and only if

$$|\alpha/t| < \omega \iff |\alpha|\omega^{-1} < |t|.$$

Thus  $E$  is a trivial differential  $\mathcal{R}$ -module if and only if  $\exp(\alpha/t) \in \mathcal{R}$  if and only if  $|\alpha| < \omega$ .

Let us then consider the nontrivial case when  $|\alpha| \geq \omega$ . It follows from a straightforward insertion of some  $\alpha$ 's into the inductive calculations of [DMST13, theorem 1] that

$$\partial^n e = \left( \sum_{k=1}^n L(n, k) \alpha^k t^{-n-k} \right) e$$

where  $L(n, k)$  denotes a Lah number

$$L(n, k) := \frac{n!}{k!} \binom{n-1}{k-1}.$$

In other words, we have

$$\partial^{[n]} e = \left( \sum_{k=1}^n \frac{1}{k!} \binom{n-1}{k-1} \alpha^k t^{-n-k} \right) e.$$

For  $\rho < 1$ , let us compute

$$R(E, \rho) = \min \left\{ \rho, \liminf_{n \rightarrow \infty} \left| \sum_{k=1}^n \frac{1}{k!} \binom{n-1}{k-1} \alpha^k t^{-n-k} \right|_\rho^{-1/n} \right\}.$$

For fixed  $n$ , we have

$$\begin{aligned}
\left| \sum_{k=1}^n \frac{1}{k!} \binom{n-1}{k-1} \alpha^k t^{-n-k} \right|_{\rho}^{-1/n} &= \left( \max_k \left| \frac{1}{k!} \binom{n-1}{k-1} \right| |\alpha|^k \rho^{-n-k} \right)^{-1/n} \\
&= \min_k |k!|^{1/n} \left| \binom{n-1}{k-1} \right|^{-1/n} |\alpha|^{-k/n} \rho^{(n+k)/n} \\
&= \min_k \left| \binom{n-1}{k-1} \right|^{-1/n} \left( \frac{\omega \rho}{|\alpha|} \right)^{k/n} \rho \omega^{-\sigma(k)/n},
\end{aligned}$$

where we have used the fact that  $|k!| = \omega^{k-\sigma(k)}$  in the last step.

Fixing  $k = 1, \dots, n$ , observe that the binomial coefficient  $\binom{n-1}{k-1}$  is an integer, so its norm is at most 1, so  $\left| \binom{n-1}{k-1} \right|^{-1/n} \geq 1$ . Also, we have

$$\omega |\alpha|^{-1} \rho < \omega |\alpha|^{-1} \leq 1,$$

which means that  $(\omega |\alpha|^{-1} \rho)^{k/n} \geq \omega |\alpha|^{-1} \rho$ . Finally, note that  $\sigma(k) \geq 1$ , so  $\omega^{-\sigma(k)/n} \geq \omega^{-1/n}$ . Putting these lower bounds together, we see that

$$\left| \binom{n-1}{k-1} \right|^{-1/n} \left( \frac{\omega \rho}{|\alpha|} \right)^{k/n} \rho \omega^{-\sigma(k)/n} \geq \omega^{1-(1/n)} |\alpha|^{-1} \rho^2$$

for all  $k = 1, \dots, n$ , so

$$\liminf_{n \rightarrow \infty} \left| \sum_{k=1}^n \frac{1}{k!} \binom{n-1}{k-1} \alpha^k t^{-n-k} \right|_{\rho}^{-1/n} \geq \liminf_{n \rightarrow \infty} \omega^{1-(1/n)} |\alpha|^{-1} \rho^2 = \omega |\alpha|^{-1} \rho^2.$$

Now consider the subsequence given by terms of the form  $n = p^s$  for  $s \in \mathbb{N}$ . For a fixed  $n$  of this form, all three quantities

$$\left| \binom{n-1}{k-1} \right|^{-1/n}, (\omega |\alpha|^{-1} \rho)^{k/n}, \text{ and } \omega^{-\sigma(k)/n}$$

are minimized when  $k = n$ . Indeed, the first two quantities are always minimized when  $k = n$  (even when  $n$  is not of the form  $p^s$ ), and the third is also minimized when  $k = n$  since then  $\sigma(k) = \sigma(n) = \sigma(p^s) = 1$ . Thus

$$\left| \sum_{k=1}^n \frac{1}{k!} \binom{n-1}{k-1} \alpha^k t^{-n-k} \right|_{\rho}^{-1/n} = \omega^{1-(1/n)} |\alpha|^{-1} \rho^2.$$

Letting  $s \rightarrow \infty$ , the limit of this subsequence is  $\omega |\alpha|^{-1} \rho^2$ . Thus  $\omega |\alpha|^{-1} \rho^2$  is both a subse-

quential limit as well as a lower bound for the limit inferior, so

$$\liminf_{n \rightarrow \infty} \left| \sum_{k=1}^n \frac{1}{k!} \binom{n-1}{k-1} \alpha^k t^{-n-k} \right|_{\rho}^{-1/n} = \omega |\alpha|^{-1} \rho^2$$

which means that

$$R(E, \rho) = \min\{\rho, \omega |\alpha|^{-1} \rho^2\} = \omega |\alpha|^{-1} \rho^2.$$

Then

$$\lim_{\rho \rightarrow 1^-} R(E, \rho) = \omega |\alpha|^{-1}.$$

When  $|\alpha| = \omega$ , we see that we have  $R(E, \rho) = \rho^2$ , so  $E$  is overconvergent and of highest slope  $\beta = 1$  [CM00, 4.2–2]. This slope  $\beta$  is also the irregularity of  $E$ , since  $E$  is of rank 1.

## References

- [CM00] Gilles Christol and Zoghman Mebkhout. Sur le théorème de l'indice des équations différentielles  $p$ -adiques. III. *Annals of Mathematics. Second Series*, 151(2):385–457, 2000. doi:10.2307/121041.
- [DMST13] Siad Daboul, Jan Mangaldan, Michael Z. Spivey, and Peter J. Taylor. The Lah numbers and the  $n$ th derivative of  $e^{1/x}$ . *Mathematics Magazine*, 86(1):39–47, 2013. URL: <http://mathcs.pugetsound.edu/~mspivey/Exp.pdf>, doi:10.4169/math.mag.86.1.039.